

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Peter Wagner et al.

Application No./Patent No.: 09/820,210

Filed/Issue Date: March 27, 2001

Entitled: SITE-SPECIFIC COVALENT BIOCONJUGATION OF PROTEINS

Zvomyx, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

6-29-01

Date

Signature

Frances K Heller

Typed or printed name

V.P. IP & Legal Affairs

Title

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PTO/SB/01 (10-00)

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted With Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 20144-000910

First Named Inventor Peter Wagner et al.

COMPLETE IF KNOWN

Application Number 09/820,210

Filing Date 03/27/01

Group Art Unit 1641

Examiner Name Unassigned

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SITE-SPECIFIC, COVALENT BIOCONJUGATION OF PROTEINS

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **March 27, 2001** as United States Application Number or PCT International

Application Number **09/820,210** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/192,640 60/235,955	03/27/00 09/26/00	

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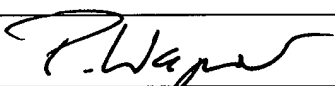
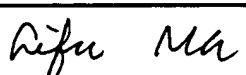


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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		20350		OR <input type="checkbox"/> Correspondence address below	
Name		William B. Kezer			
Address					
Address					
City		State		ZIP	
Country		Telephone		Fax	
		925-472-5000		925-472-8895	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Peter		Family Name or Surname	
				Wagner	
Inventor's Signature					Date
					7/5/01
Residence: City		State		Country	
Belmont		CA		USA	
Mailing Address		2211 Village Court, Apt. 7			
Mailing Address					
City		State		ZIP	
Belmont		CA		94002	
Country		USA			
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Lifu		Family Name or Surname	
				Ma	
Inventor's Signature					Date
					6/27/01
Residence: City		State		Country	
Castro Valley		CA		USA	
Mailing Address		20209 Redwood Road, #D			
Mailing Address					
City		State		ZIP	
Castro Valley		CA		94546	
Country		USA			
<input checked="" type="checkbox"/> Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Steffen		Nock	
Inventor's Signature <i>Steffen Nock</i>		Date <i>06/22/01</i>	
Residence: City	Redwood City	State	CA
		Country	USA
Citizenship GERMANY			
Mailing Address 3629 Glenwood Avenue			
Mailing Address			
City	Redwood City	State	CA
		ZIP	94062
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
David		Wilson	
Inventor's Signature <i>David Wilson</i>		Date <i>6/22/01</i>	
Residence: City	Hayward	State	CA
		Country	USA
Citizenship USA			
Mailing Address 24702 Broadmore Avenue			
Mailing Address			
City	Hayward	State	CA
		ZIP	94544
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jens		Sydor	
Inventor's Signature <i>Jens Sydor</i>		Date <i>06/29/01</i>	
Residence: City	Foster City	State	CA
		Country	USA
Citizenship GERMANY			
Mailing Address 713 Catamaran Street, #2 <i>323 Turkish Lane</i>			
Mailing Address			
City	Redwood City <i>Foster City</i>	State	CA
		ZIP	94062 <i>94061</i>
		Country	USA

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SF 1205844 v1